

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known		
				Application Number	09/998,893	
				Filing Date	November 30, 2001	
				First Named Inventor	Richard P. Sinn	
				Art Unit	2437	
Examiner Name	Tamara Teslovich					
Attorney Docket Number	021756-012800US					
Sheet	1	of	6			

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
/T.T./	A123	US-2004-0103413 A1	5-2004	Mandava et al.	
	A124	US-5,815,152	9-1998	Collier et al.	
	A125	US-5,940,843 A	8-1999	Zucknovich et al.	
	A126	US-5,987,471	11-1999	Bodine et al.	
	A127	US-5,987,611	11-1999	Freund, Gregor	
	A128	US-6,112,225 A	8-2000	Kraft et al.	
	A129	US-6,112,243 A	8-2000	Downs et al.	
	A130	US-6,223,205 B1	4-2001	Harchol-Balter et al.	
	A131	US-6,418,446 B1	7-2002	Lection et al.	
	A132	US-6,563,830	5-2003	Gershon et al.	
	A133	US-6,662,365	12-2003	Sullivan et al.	
	A134	US-6,711,607 B1	3-2004	Goyal	
	A135	US-6,711,616 B1	3-2004	Stamm et al.	
	A136	US-6,721,318 B1	4-2004	Cai et al.	
	A137	US-6,721,716 B1	4-2004	Gross	
	A138	US-6,839,752 B1	1-2005	Miller et al.	
	A139	US-6,963,573 B1	11-2005	Cain et al.	
	A140	US-6,963,917 B1	11-2005	Callis et al.	
	A141	US-6,981,212	12-2005	Claussen et al.	
	A142	US-7,031,944 B2	4-2006	Tanioka	
	A143	US-7,058,798	6-2006	Elley et al.	
	A144	US-7,080,078	7-2006	Slaughter et al.	
	A145	US-7,143,048 B1	11-2006	Ruben et al.	
	A146	US-7,213,249	5-2007	Tung Loo et al.	
	A147	US-7,216,163	5-2007	Sinn	
	A148	US-7,349,912	3-2008	Delany et al.	
	A149	US-7,363,339	4-2008	Delany et al.	
	A150	US-7,380,008	5-2008	Teng et al.	
/T.T./	A151	US-7,415,607	8-2008	Sinn	

Examiner Signature	/Tamara Teslovich/	Date Considered	06/05/2010
--------------------	--------------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/998,893
				Filing Date	November 30, 2001
				First Named Inventor	Richard P. Sinn
				Art Unit	2437
				Examiner Name	Tamara Teslovich
Sheet	2	of	6	Attorney Docket Number	021756-012800US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/T.T./	A152	US-7,464,162 B2	12-2008	Chan, Christine Wai Han	
	A153	US-7,516,360 B2	4-2009	Bacher et al.	
	A154	US-7,581,011 B2	8-2009	Teng, Joan C.	
	A155	US-7,584,250 B1	9-2009	Chapman et al.	
	A156	US-7,673,047	3-2010	Delaney et al.	
	A157	US-7,085,834	8-2006	Delany et al.	
	A158	US-7,475,151	1-2009	Delany et al.	
/T.T./	A159	US-7,475,136	1-2009	Sinn	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
						<input type="checkbox"/>

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ⁶
/T.T./	C36	Bartoli, Alberto, "Group-based multicast and dynamic membership in wireless networks with incomplete spatial coverage," Baltzer Science Publishers BV, Mobile Networks and Applications 3, 1998, pgs. 175-188.			<input type="checkbox"/>
/T.T./	C37	Carter, Gerald, "Putting Directories to Work, LDAP System Administration," Chapter 7: Email and LDAP, O'Reilly®, January 2000, pgs. 126-156.			<input type="checkbox"/>
/T.T./	C38	Chiasson, et al., "Electronic commerce universal access device-the knowledge-acquiring layered infrastructure (KALI) project", Crossroads, Vol. 7, Issue 1, Fall 2000, pgs. 28-32			<input type="checkbox"/>

Examiner Signature	/Tamara Teslovich/	Date Considered	06/05/2010
--------------------	--------------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/998,893
				Filing Date	November 30, 2001
				First Named Inventor	Richard P. Sinn
				Art Unit	2437
				Examiner Name	Tamara Teslovich
Sheet	3	of	6	Attorney Docket Number	021756-012800US

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶	
/T.T./	C39	Consumer Action News, "2000 Identity Theft Issue," May 11, 2007, http://www.consumer-action.org/news/articles/2000 identity theft issue , 15 pgs.	<input type="checkbox"/>	
	C40	Oracle Security and Identity Management, "Oracle Identity Management, Password Reset Validation" May 11, 2007, pgs. 1-20, http://www.oracle.com/technology/products/oid/oidhtml/sec	<input type="checkbox"/>	
	C41	US Patent Application No. 09/997,410, filed November 30, 2001 (attny docket no. 012400US), NOA dated 04/06/2004, 5 pages	<input type="checkbox"/>	
	C42	US Patent Application No. 09/997,410, filed November 30, 2001 (attny docket no. 012400US), Office Action dated 12/18/2003, 9 pages	<input type="checkbox"/>	
	C43	US Pat App No. 09/998,894, Filed 11/30/2001, (Atty. Ref. No. 021756-013500US), Notice of Allowance dated 03/19/2004, 5 pages.	<input type="checkbox"/>	
	C44	US Pat App No. 09/998,894, Filed 11/30/2001, (Atty. Ref. No. 021756-013500US), Non-Final Office Action dated 12/22/2003, 7 pages.	<input type="checkbox"/>	
	C45	US Pat App No. 09/998,895, Filed 11/30/2001, (Atty. Ref. No. 021756-013000), Notice of Allowance dated 12/07/2009, 16 pgs.	<input type="checkbox"/>	
	C46	US Pat App No. 09/998,895, Filed 11/30/2001, (Atty. Ref. No. 021756-013000), Final Rejection dated 04/02/2009, 18 pgs.	<input type="checkbox"/>	
	C47	US Pat App No. 09/998,895, Filed 11/30/2001, (Atty. Ref. No. 021756-013000), Advisory Action dated 03/27/2008, 3 pgs.	<input type="checkbox"/>	
/T.T./	C48	US Pat App No. 09/998,895, Filed 11/30/2001, (Atty. Ref. No. 021756-013000), Final Office Action dated 01/09/2008, 13 pgs.	<input type="checkbox"/>	

Examiner Signature	/Tamara Teslovich/	Date Considered	06/05/2010
--------------------	--------------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/998,893
				Filing Date	November 30, 2001
				First Named Inventor	Richard P. Sinn
				Art Unit	2437
				Examiner Name	Tamara Teslovich
Sheet	4	of	6	Attorney Docket Number	021756-012800US

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶	
/T.T./	C49	US Pat App No. 09/998,898, Filed 11/30/2001, (Atty. Ref. No. 021756-013300US) Notice of Allowance dated 09/03/2008, 13 pgs.	<input type="checkbox"/>	
	C50	US Pat App No. 09/998,898, Filed 11/30/2001, (Atty. Ref. No. 021756-013300), Advisory Action dated 08/1/2008, 3 pgs.	<input type="checkbox"/>	
	C51	US Pat App No. 09/998,908, Filed 11/30/2001, (Atty. Ref. No. 021756-012100US), Notice of Allowance dated 12/17/2009, 16 pgs.	<input type="checkbox"/>	
	C52	US Pat App No. 09/998,908, Filed 11/30/2001, (Atty. Ref. No. 021756-012100US), BPAI Decision - Examiner Reversed dated 08/20/2009, 9 pgs.	<input type="checkbox"/>	
	C53	US Pat App No. 09/998,910, Filed 11/30/2001, (Atty. Ref. No. 021756-011900US), Notice of Allowance dated April 16, 2009, 8 pgs.	<input type="checkbox"/>	
	C54	US Pat App No. 09/998,926, Filed 11/30/2001, (Atty. Ref. No. 021756-012300US), Notice of Allowance dated 01/15/2008, 8 pgs.	<input type="checkbox"/>	
	C55	US Patent Application No. 09/999,074 (Atty. Ref. No. 021756-013100US), Non-Final Office Action dated January 26, 2010, 29 pages.	<input type="checkbox"/>	
	C56	US Pat App No. 09/999,074, Filed 11/30/2001, (Attny. Ref. No. 021756-013100US), Final Office Action dated 10/27/2009, 25 pgs.	<input type="checkbox"/>	
	C57	US Pat App No. 09/999,074, Filed 11/30/2001, (Attny. Ref. No. 021756-013100US), Non-Final Office Action dated 03/18/2009, 24 pgs.	<input type="checkbox"/>	
/T.T./	C58	US Pat App No. 09/999,074, Filed 11/30/2001, (Attny. Ref. No. 021756-013100US), Final Office Action dated 09/08/2008, 24 pgs.	<input type="checkbox"/>	

Examiner Signature	/Tamara Teslovich/	Date Considered	06/05/2010
--------------------	--------------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/998,893
				Filing Date	November 30, 2001
				First Named Inventor	Richard P. Sinn
				Art Unit	2437
				Examiner Name	Tamara Teslovich
Sheet	5	of	6	Attorney Docket Number	021756-012800US

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶	
/T.T./	C59	US Pat App No. 09/999,074, Filed 11/30/2001, (Attny. Ref. No. 021756-013100US), Non-Final Office Action dated 03/21/2008, 22 pgs.	<input type="checkbox"/>	
	C60	US Pat App No. 09/999,074, Filed 11/30/2001, (Attny. Ref. No. 021756-013100US), BPAI Decision - Examiner Affirmed dated 05/10/2007, 12 pgs.	<input type="checkbox"/>	
	C61	US Patent Application No. 10/145,684 (Att. Ref. No. 021756-013900US), Final Office Action dated January 21, 2010, 18 pages.	<input type="checkbox"/>	
	C62	US Patent Application No. 10/145,684 (Att. Ref. No. 021756-013900US), Non-Final Office Action dated May 12, 2009, 10 pages	<input type="checkbox"/>	
	C63	US Pat App No. 10/145,684, Filed 05/15/2002, (Att. Ref. No. 021756-013900US), Restriction Requirement dated 01/05/2009, 7 pgs.	<input type="checkbox"/>	
	C64	US Pat App No. 10/145,684, Filed 05/15/2002, (Att. Ref. No. 021756-013900US), Final Office Action dated 06/26/2008, 19 pgs.	<input type="checkbox"/>	
	C65	US Pat App No. 11/382,981, Filed 05/12/2006, (Att. Ref. No. 021756-013210US), Notice of Allowance dated 10/08/2009, 7 pgs.	<input type="checkbox"/>	
	C66	US Pat App No. 11/382,981, Filed 05/12/2006, (Att. Ref. No. 021756-013210US), Advisory Action dated 09/21/2009, 4 pgs.	<input type="checkbox"/>	
	C67	US Pat App No. 11/382,981, Filed 05/12/2006, (Att. Ref. No. 021756-013210US), Final Office Action dated 07/02/2009, 6 pgs.	<input type="checkbox"/>	
/T.T./	C68	US Pat App No. 11/382,981, Filed 05/12/2006, (Att. Ref. No. 021756-013210US), Non-Final Office Action dated 12/23/2008, 7 pgs.	<input type="checkbox"/>	

Examiner Signature	/Tamara Teslovich/	Date Considered	06/05/2010
-----------------------	--------------------	--------------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/998,893
				Filing Date	November 30, 2001
				First Named Inventor	Richard P. Sinn
				Art Unit	2437
				Examiner Name	Tamara Teslovich
Sheet	6	of	6	Attorney Docket Number	021756-012800US

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶	
/T.T./	C69	US Pat App No. 11/691,072, Filed 05/15/2002, (Atty. Ref. No. 021756-013810US), Notice of Allowance dated 09/08/2008, 7 pgs.	<input type="checkbox"/>	
/T.T./	C70	US Pat App No. 11/691,072, Filed 05/15/2002, (Atty. Ref. No. 021756-013810US), Non-Final Office Action dated 04/09/2008, 7 pgs.	<input type="checkbox"/>	
/T.T./	C71	Oblix™ Identity-Based Security Solutions, "Using Oblix NetPoint and Active Directory Across Your E-Business," Whitepaper, 2002 Oblix, Inc., 12 pgs.	<input type="checkbox"/>	

Examiner Signature	/Tamara Teslovich/	Date Considered	06/05/2010
-----------------------	--------------------	--------------------	------------

¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ² Applicant's unique citation designation number (optional). ³ See Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁷ Applicant is to place a check mark here if English language Translation is attached.